

**PROBUILDERS SPECIALTY INSURANCE COMPANY, RRG, A RISK RETENTION GROUP
WRAP CONTRACTORS APPLICATION – NATIONSBUILDERS INSURANCE SERVICES, INC.**

Sierra Specialty Insurance Services, Inc.
CA Insurance License #00330119
7110 N. Fresno Street, Suite 300
Fresno, CA 93720
559-266-0900 phone
559-266-0933 fax
866-814-9578 toll free



SierraSpecialty

1. GENERAL INFORMATION:

Producer name: _____
Producer address: _____
Producer telephone: _____
Producer contact name _____
Producer fax _____
Producer e-mail _____
Applicant name _____
 Individual Partnership Corporation Joint Venture LLC Other
Applicant street address _____
City, State, Zip _____
Applicant mailing address _____
City, State, Zip _____
Phone number _____
Inspection contact name: _____
Years in business under current name _____
Total years experience as a contractor _____
Contractor license number (s) _____
Licensed state (s) _____
Tax id number _____

2. PROJECT DETAILS:

Project Description: _____
Project Name: _____
Project Address: _____
Project Start Date: _____
Project Completion Date: _____
Has Financing Been Secured? Yes No
What Is The Source Of Financing? _____
Name of Loss Control Contact, mailing address & phone number: _____
Estimated Gross Receipts for Project _____

PROBUILDERS SPECIALTY INSURANCE COMPANY, RRG, A RISK RETENTION GROUP
WRAP CONTRACTORS APPLICATION – NATIONSBUILDERS INSURANCE SERVICES, INC.

<u>Project Details:</u>	<u># of Units</u>	<u># of Buildings</u>	<u># of Stories</u>	<u>Construction Type</u> <i>(wood frame, concrete, etc.)</i>
Single Family Dwellings:	_____	_____	_____	_____
Townhouses:	_____	_____	_____	_____
Condominiums:	_____	_____	_____	_____
Apartments:	_____	_____	_____	_____
Other:	_____	_____	_____	_____

If Other, please describe:

Are there any exposure to hillsides, slopes, landfill or other potential subsidence areas? Yes No

Description: _____

Was the site previously developed? Yes No

Description: _____

Please be sure to include complete details of any previous site improvements which will be party of the final project.

Will the project involve any demolition of existing structures? Yes No

Description: _____

Will the project involve the construction of pools? Yes No

Description: _____

Will the project involve the construction of a common area playground? Yes No

Description: _____

Will any of your projects involve caissons, cantilevers, piers, retaining walls, shoring, underpinning, or other heavy structural engineering techniques? Yes No

Description: _____

If retaining walls have been or will be built, maximum height: _____ ft.

Will you perform work above two stories in height? Yes No
 If so, what percentage? ___% Maximum height: _____ ft.

Will you perform any work below ground level? Yes No
 If so, what percentage? ___% Maximum depth: _____ ft.

Does applicant always check with local utilities authority before digging? Yes No

3. PROJECT TEAM – BACKGROUND/EXPERIENCE:

A. Project Sponsor (Name of Sponsor, contact-person, mailing address, and phone number): _____

Describe past Residential construction experience of the Sponsor: _____

B. Project Architect (Name of Architect, contact-person, mailing address, and phone number): _____

Describe Architect's past Residential experience: _____

C. Project General Contractor

Name of General Contractor (contact-person, mailing address, and phone number): _____

Describe past Residential construction experience of the General Contractor (such as the number and types of residential structures built): _____

General Contractor – number of years in business: _____

General Contractor – number of years building residential structures: _____

D. In the past 3 years, have you been fired or replaced on a job in progress? Yes No

(i) In the past 3 years have you replaced another contractor on a job in progress? Yes No

If yes, please provide details: _____

4. RISK MANAGEMENT:

A. Pre-Construction Operations

(i) Are there any known pollution exposures on jobsite? Yes No

If yes, describe known pollution exposures on jobsite (include environmental reports): _____

(ii) Were there any significant design or material selection decisions made to prevent claims? Yes No

If yes, please provide specific details of such decisions? _____

(iii) Does the General Contractor have a formal subcontractor pre-qualification program? Yes No

If yes, please provide specific details of their program? _____

PROBUILDERS SPECIALTY INSURANCE COMPANY, RRG, A RISK RETENTION GROUP
WRAP CONTRACTORS APPLICATION – NATIONSBUILDERS INSURANCE SERVICES, INC.

B. Quality Control Program

- (i) Does the Named Insured have a Quality Control Program in effect to monitor all construction activities? Yes No

If yes:

(a) Who is responsible for managing the program? _____

(b) Briefly describe the program and/or attach a copy of the program to this questionnaire: _____

- ii. Does the Named Insured have a written Site Inspection Program? Yes No

If yes:

(a). When are the inspections performed? _____

(b) Are surprise inspections conducted? Yes No

(c) Who determines the inspection schedule? _____

(d) Who conducts the inspections? _____

(e) Briefly describe the established criteria for required follow-up: _____

- iii. Does the Named Insured have any Independent Inspections/Assessments performed? Yes No

If yes:

(a) Who is providing this service? _____

(b) Briefly describe the scope of their services and/or attach a copy of their contract to this questionnaire: _____

(c) What percentage of units are to be inspected and how often? _____

C. Safety Program

- i. Does the Named Insured have written safety program? If yes: Yes No

(a) Who is designated as the safety manager on site? _____

(1) Is this person on site full time? Yes No

(b) Does the program require that there be scaffolding and fall protection? Yes No

(1) What height requirement is maintained? _____

(c) Does the safety program specifically address:

(1) Site Security? Yes No Not Applicable

(2) Attractive Nuisance? Yes No Not Applicable

(3) Power Lines? Yes No Not Applicable

(4) Traffic Control? Yes No Not Applicable

(5) Utility Identification? Yes No Not Applicable

- ii. Are customers and future customers or other third parties allowed on site? Yes No

If yes, _____

(a) What precautions are taken to protect third party visitors? _____

PROBUILDERS SPECIALTY INSURANCE COMPANY, RRG, A RISK RETENTION GROUP
WRAP CONTRACTORS APPLICATION – NATIONSBUILDERS INSURANCE SERVICES, INC.

D. Post Construction Operations

i. Does the Named Insured have a written procedure for conducting final inspections for each dwelling at completion?

If yes, Yes No

(a) Who conducts these inspections? _____

(b) Are these final inspections documented? Yes No

(c) How long is documentation maintained? _____

ii. Does the Named Insured conduct walk through inspections with the buyers? If yes, Yes No

(a) Who conducts these inspections? _____

(b) Is a checklist used? Yes No

(c) How long is documentation maintained? _____

iii. Will the Named Insured provide a Homeowners Manual to each buyer? Yes No

E. Home Warranty Program

i. Will the Named Insured have a formal customer service department? If yes, Yes No

(a) How many years will you have a full time customer service department? _____

(b) Who is responsible for customer service? _____

(1) Is this person on site full time? Yes No

(c) Does the Named Insured solicit and obtain homeowner surveys? If yes, Yes No

Briefly describe how survey information is maintained and used: _____

ii. Will the Named Insured provide each buyer with a Home Warranty? If yes, Yes No

(a) Will the Home Warranty be insured by a third party? If yes, Yes No

(b) Who is the insurer? _____

(c) What is the duration of these policies? _____

(d) Are these policies renewable by the dwelling owner? Yes No

iii. Describe how warranty work will be addressed following completion of the project: _____

(a) Who will do the warranty repairs? _____

(b) Will there be a database monitoring system for the warranty program? If yes, Yes No

Briefly describe the system: _____

ADDITIONAL PROJECT INFORMATION WHICH MUST ACCOMPANY THIS QUESTIONNAIRE

1. Site Map
2. Soil/Geotechnical Report (must be less than one year old)
3. Construction budget

5. FINANCIAL AND INSURANCE INFORMATION: Include All Projects (Wrap and Non-Wrap)

PERIOD	YEAR	# OF HOMES COMPLETED	# OF HOMES WORKED ON	GROSS RECEIPTS	SUBCONTRACTING COSTS	GROSS PAYROLL
Next 12 Months				\$	\$	\$
Current Year				\$	\$	\$
1 st Prior Year				\$	\$	\$
2 nd Prior Year				\$	\$	\$

Do you want to use premium financing: Yes No

Applicant ever been adjudged bankrupt or insolvent? Yes No

**PROBUILDERS SPECIALTY INSURANCE COMPANY, RRG, A RISK RETENTION GROUP
WRAP CONTRACTORS APPLICATION – NATIONSBUILDERS INSURANCE SERVICES, INC.**

PRIOR INSURANCE COMPANY INFORMATION:

PERIOD	POLICY PERIOD	INSURANCE COMPANY	POLICY NUMBER	POLICY PREMIUM	POLICY RATE	POLICY LIMIT	POLICY DED/SIR
Current Year				\$	\$		\$
1 st Prior Year				\$	\$		\$
2 nd Prior Year				\$	\$		\$

Has the applicant ever been refused a performance bond or had liability insurance cancelled? Yes No

Does the applicant carry workers compensation on all of its employees? Yes No

6. LOSS AND CLAIM INFORMATION (7 YEARS):

PERIOD	YEAR	TOTAL LOSSES	# OF CLAIMS	LARGEST LOSS	CAUSE OF LARGEST LOSS
CURRENT YEAR		\$		\$	
1 ST PRIOR YEAR		\$		\$	
2 ND PRIOR YEAR		\$		\$	
3 RD PRIOR YEAR		\$		\$	
4 TH PRIOR YEAR		\$		\$	
5 TH PRIOR YEAR		\$		\$	
6 TH PRIOR YEAR		\$		\$	

Are you aware of any facts, circumstances, incidents, situations, damages or accidents that may give rise to a claim or lawsuit (whether valid or not or whether covered by insurance or not)? Yes No

IF YES PLEASE COMPLETE THE FOLLOWING:

PROJECT NAME	PROJECT TYPE	NATURE OF YOUR WORK	CLAIMED DAMAGES
			\$
1.			\$
2.			\$
3.			\$
4.			\$
5.			\$

Questions:

Has any local, state or federal government agency or licensing board cited you for violation of any law or regulation or investigated you in the past five years? YES NO

Within the last five years have you been named in litigation regarding faulty construction? YES NO

Within the last five years, has any person or entity demanded that you defend them, or hold them harmless, in any claim or lawsuit? YES NO

Within the last five years has any lawsuit been filed, or claim otherwise been made, against you or your company or any partnership or joint venture of which you have been a member, or your company's predecessors in business, or against any person, company or entities on whose behalf your company has assumed liability? For the purposes of this application only, a claim or lawsuit means a receipt of a demand for money, services, arbitration or mediation. YES NO

If applicant answered any of the above questions with a yes, please provide the following information for each claim and or lawsuit:

PROJECT NAME	PROJECT TYPE	Description of Loss	CLAIMED DAMAGES
1.			
2.			
3.			
4.			
5.			

REMARKS: _____

**PROBUILDERS SPECIALTY INSURANCE COMPANY, RRG, A RISK RETENTION GROUP
WRAP CONTRACTORS APPLICATION – NATIONSBUILDERS INSURANCE SERVICES, INC.**

ATTENTION:

1. THE APPLICANT WARRANTS THAT THE ABOVE STATEMENTS AND PARTICULARS, TOGETHER WITH ANY ATTACHED OR APPENDED DOCUMENTS OR MATERIALS (“THIS APPLICATION”), ARE TRUE AND COMPLETE AND DO NOT MISREPRESENT, MISSTATE OR OMIT ANY MATERIAL FACTS.
2. THE APPLICANT UNDERSTANDS THAT THE COMPANY RELIED UPON THE INFORMATION CONTAINED WITHIN THIS APPLICATION TO DETERMINE ACCEPTABILITY, RATES AND COVERAGE.
3. THE APPLICANT UNDERSTANDS THAT ANY MISREPRESENTATION OR OMISSION SHALL CONSTITUTE GROUNDS FOR RECISSION OF COVERAGE AND DENIAL OF CLAIMS.
4. THE APPLICANT UNDERSTANDS THE COMPANY IS NOT OBLIGATED NOR UNDER ANY DUTY TO ISSUE A POLICY OF INSURANCE BASED UPON THIS APPLICATION. THE APPLICANT FURTHER UNDERSTANDS THAT, IF A POLICY IS ISSUED, THIS APPLICATION WILL BE INCORPORATED INTO AND FORM A PART OF SUCH POLICY.
5. IF THE APPLICANT BECOMES AWARE THAT ANY RESPONSE ON THIS APPLICATION BECOMES INACCURATE AS A RESULT OF INFORMATION OR CHANGE OF CIRCUMSTANCES BEFORE A POLICY IS ISSUED, THE APPLICANT MUST INFORM THE COMPANY OF SUCH CHANGE, IN WRITING, AND ANY POLICY ISSUED BEFORE SUCH NOTIFICATION IS SUBJECT TO IMMEDIATE CANCELLATION.
6. THE APPLICANT AUTHORIZES THE COMPANY TO MAKE ANY INVESTIGATION AND INQUIRY IN CONNECTION WITH THE QUESTIONNAIRE AS IT MAY DEEM NECESSARY.

THE UNDERSIGNED, BEING AUTHORIZED BY AND ACTING ON BEHALF OF THE PROSPECTIVE INSURED, REPRESENTS THAT THE ANSWERS GIVEN ARE TRUE. FAILURE TO PROVIDE TRUTHFUL ANSWERS AND ALL MATERIAL INFORMATION CAN RESULT IN THE COMPANY ELECTING TO CANCEL, REFORM AND/OR RESCIND THE POLICY.

(“APPLICANT”, “YOU”, “YOUR” AND SIMILAR WORDS REFER TO THE PROSPECTIVE INSURED)

NOTICE: A POLICY ISSUED BASED ON THIS APPLICATION WOULD BE ISSUED BY YOUR RISK RETENTION GROUP. YOUR RISK RETENTION GROUP MAY NOT BE SUBJECT TO ALL OF THE INSURANCE LAWS AND REGULATIONS OF YOUR STATE. STATE INSURANCE INSOLVENCY GUARANTY FUNDS ARE NOT AVAILABLE FOR YOUR RISK RETENTION GROUP.

THE TERMS, CONDITIONS AND EXCLUSIONS CONTAINED IN POLICIES ISSUED BY THE COMPANY VARY SIGNIFICANTLY FROM THOSE CONTAINED IN MANY OTHER LIABILITY INSURANCE POLICIES. THE POLICY FORM ISSUED BY THE COMPANY PROVIDES COVERAGE THAT MAY BE MORE LIMITED THAN THAT AVAILABLE UNDER THE “ISO” INSURANCE POLICY OR SIMILAR TYPES OF POLICIES. YOU SHOULD CAREFULLY REVIEW THE ENTIRE POLICY WITH YOUR AGENT, LEGAL COUNSEL OR OTHER INSURANCE PROFESSIONAL TO MAKE SURE THAT YOU UNDERSTAND THE COVERAGE IT PROVIDES, AND YOUR RIGHTS AND OBLIGATIONS UNDER THE POLICY.

SIGNATURE OF APPLICANT:

DATE:

TITLE (OFFICER, PARTNER OR OWNER):

ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD.

MAIL, FAX OR E-MAIL APPLICATION TO:
NATIONSBUILDERS INSURANCE SERVICES, INC.
73-726 ALESSANDRO DRIVE, SUITE 200
PALM DESERT, CA 92260
PHONE (760) 836-0155 FAX (760) 836-0166